

DISCRETE SURFACE SIGNAL PROCESSING FOR FREE-FORM SURFACE DESIGN

GABRIEL TAUBIN



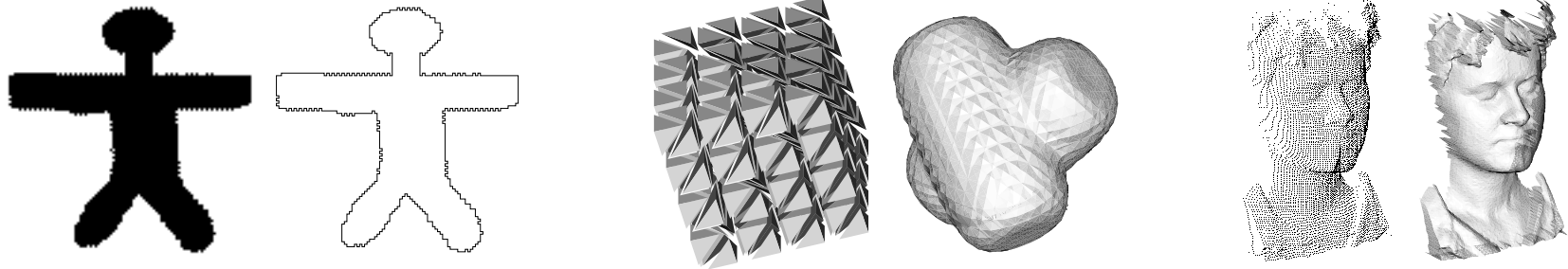
T.J.Watson Research Center

April 1995

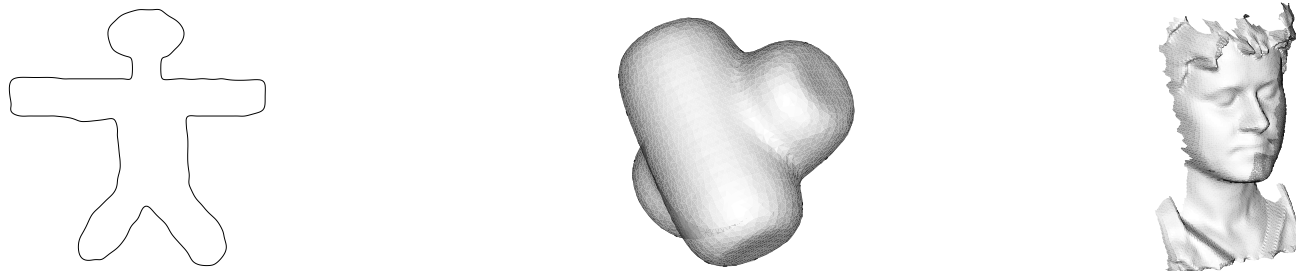
- **CURVE AND SURFACE SMOOTHING WITHOUT SHRINKAGE** ,
by G. Taubin,
Fifth International Conference on Computer Vision (ICCV'95).
- **A SIGNAL PROCESSING APPROACH TO FAIR SURFACE DESIGN** ,
by G. Taubin,
SIGGRAPH'95.
- **FAST POLYHEDRAL SURFACE SMOOTHING** ,
by G. Taubin, T. Zhang (Stanford), and G. Golub (Stanford),
(in preparation).

MOTIVATED BY THE PROBLEM OF SMOOTHING

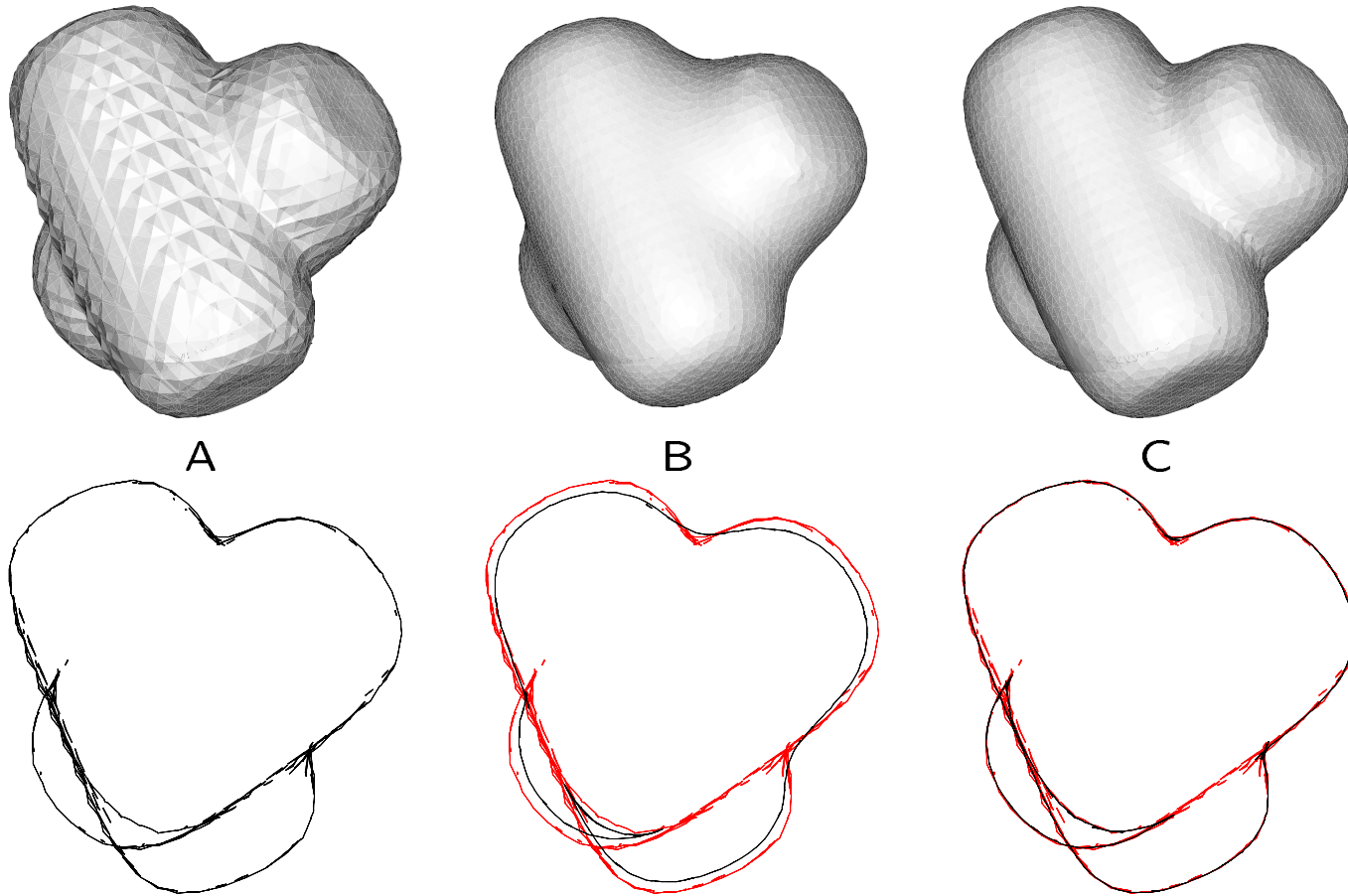
MANY ALGORITHMS (BOUNDARY FOLLOWING, ISO-SURFACES, ETC.),
PRODUCE INACCURATE OR NOISY PIECE-WISE LINEAR
APPROXIMATIONS OF CONTINUOUS CURVES AND SURFACES



HOW TO FORMULATE SURFACE SMOOTHING AS LOW-PASS FILTERING ?



EXAMPLE



A : An iso-surface appears faceted.

B : Gaussian smoothing.

C : Smoothing as low-pass filtering.

OVERVIEW

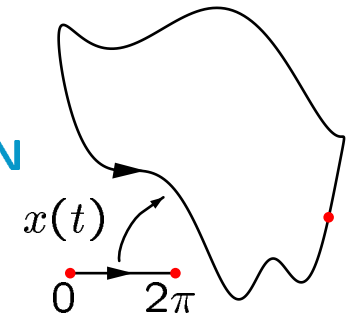
SURFACE SMOOTHING AS LOW-PASS FILTERING

- LOW-PASS FILTERING AS A LINEAR PROJECTION
- EXTENSION TO SIGNALS DEFINED ON SURFACES
- A SIMPLE SURFACE SIGNAL LOW-PASS FILTERING ALGORITHM
- FILTER DESIGN

APPLICATIONS TO FREE-FORM SURFACE DESIGN

- SUBDIVISION AND SMOOTHING
- INTERPOLATORY CONSTRAINTS
- SMOOTH INTERPOLATION

LOW-PASS FILTERING AS A LINEAR PROJECTION



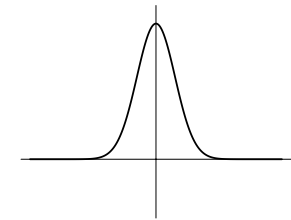
- 1) FOURIER DESCRIPTORS (COMPLEXITY $O(n \log(n))$)
COMPUTE FOURIER SERIES AND DISCARD TAIL

$$x(t) = \sum_{k=0}^{\infty} \xi_k u_k(t) \quad \mapsto \quad x'(t) = \sum_{k=0}^{k_{SB}} \xi_k u_k(t)$$

EXACT PROJECTION ONTO SUBSPACE OF LOW FREQUENCIES

- 2) GAUSSIAN FILTERING (COMPLEXITY $O(n)$)
CONVOLVE WITH GAUSSIAN KERNEL

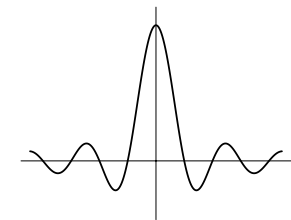
$$x(t) \quad \mapsto \quad x'(t) = \int g_{\sigma}(t - s) x(s) ds$$



NOT A LOW-PASS FILTER : PRODUCES SHRINKAGE

- 3) LOW-PASS FILTERING (COMPLEXITY $O(n)$)
CONVOLVE WITH LOW-PASS FILTER KERNEL

$$x(t) \quad \mapsto \quad x'(t) = \int k(t - s) x(s) ds$$



APPROX PROJECTION ONTO SUBSPACE OF LOW FREQUENCIES

FOURIER ANALYSIS AND THE LAPLACIAN

$x = (x_1, \dots, x_n)^t$ DISCRETE TIME n -PERIODIC SIGNAL

1) THE DISCRETE LAPLACIAN OF x IS

$$\Delta x_i = \frac{1}{2}(x_{i-1} - x_i) + \frac{1}{2}(x_{i+1} - x_i)$$

2) GAUSSIAN SMOOTHING IS

$$x'_i = x_i + \lambda \Delta x_i .$$

OR IN MATRIX FORM

$$x' = (I - \lambda K) x ,$$

WHERE K IS THE CIRCULANT MATRIX

$$\frac{1}{2} \begin{pmatrix} 2 & -1 & & & -1 \\ -1 & 2 & -1 & & \\ & \ddots & \ddots & \ddots & \\ & & -1 & 2 & -1 \\ -1 & & & -1 & 2 \end{pmatrix} .$$

3) LOW-PASS FILTERING IS

$$x' = f(K) x ,$$

FOR SOME TRANSFER FUNCTION $f(k)$

EXTENSION TO SIGNALS DEFINED ON SURFACES

$x = (x_1, \dots, x_n)^t$ FUNCTION DEFINED ON VERTICES OF SURFACE

1) REPLACE DISCRETE LAPLACIAN BY

$$\Delta x_i = \sum_{j \in i^*} w_{ij} (x_j - x_i) \quad \text{WHERE} \quad w_{ij} > 0 \quad \sum_{j \in i^*} w_{ij} = 1.$$

2) GAUSSIAN SMOOTHING IS STILL

$$x'_i = x_i + \lambda \Delta x_i,$$

OR IN MATRIX FORM

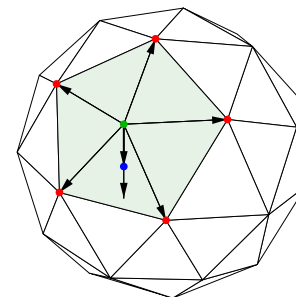
$$x' = (I - \lambda K) x,$$

WHERE $K = I - W$ IS **NO LONGER** A CIRCULANT MATRIX

3) LOW-PASS FILTERING IS STILL

$$x' = f(K) x,$$

FOR SOME TRANSFER FUNCTION $f(k)$



WEIGHT MATRIX

$$W = (w_{ij})$$

SYMMETRIC NEIGHBORHOOD STRUCTURE $\Rightarrow W$ IS NORMAL \Rightarrow

W HAS REAL EIGENVALUES

$\sum_{j \in i^*} w_{ij} = 1 \Rightarrow W$ IS STOCHASTIC \Rightarrow

EIGENVALUES OF W IN $\{z : |z| \leq 1\}$

EIGENVALUES AND RIGHT EIGENVECTORS OF $K = I - W$

$$\begin{array}{l} 0 \leq k_1 \leq \dots \leq k_{n_V} \leq 2 \quad \leftarrow \text{NATURAL FREQUENCIES} \\ \quad \quad \quad u_1, \dots, u_{n_V} \quad \quad \quad \leftarrow \text{NATURAL VIBRATION MODES} \end{array}$$

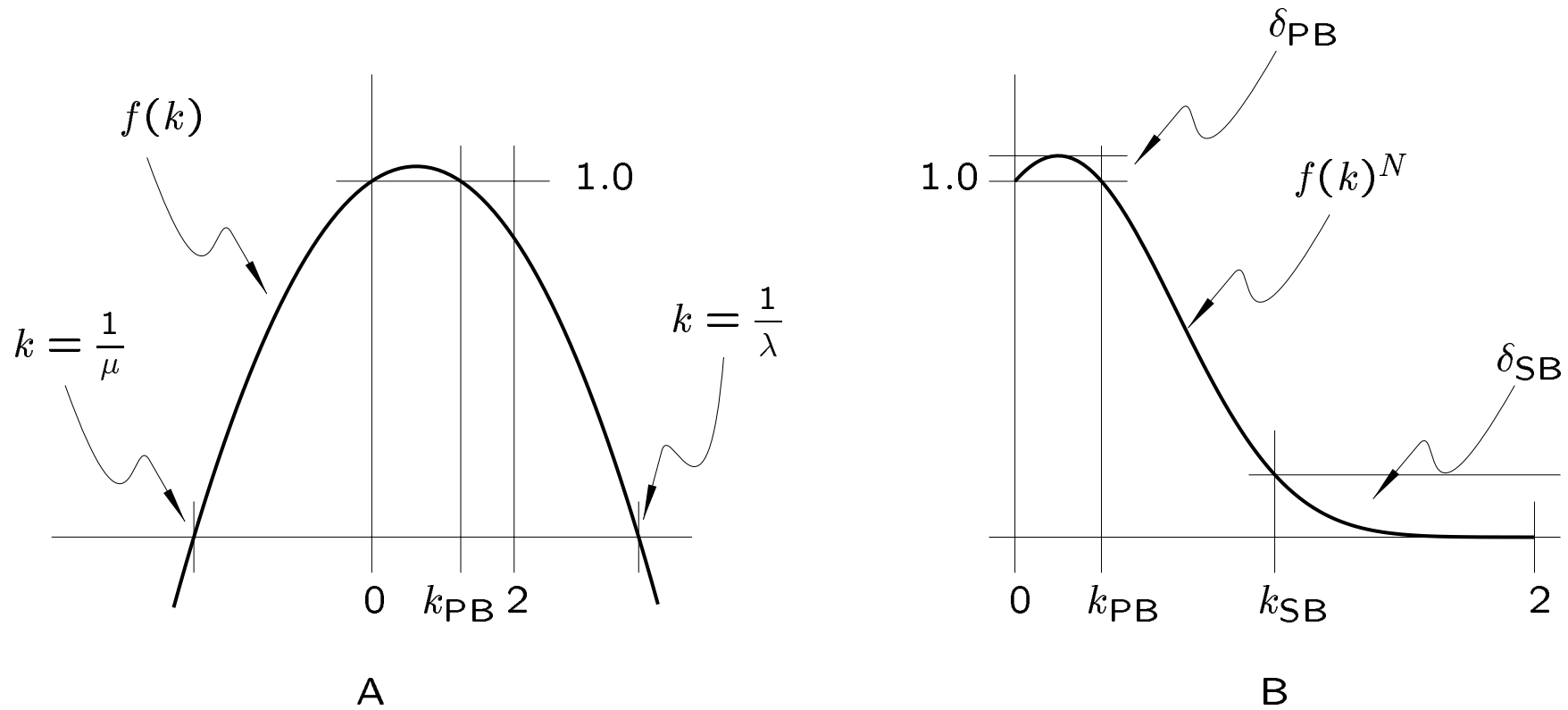
IF $f(k)$ POLYNOMIAL TRANSFER FUNCTION $\Rightarrow f(K)u_i = f(k_i)u_i \Rightarrow$

$$x' = f(K)x = \sum_{i=1}^n f(k_i) \xi_i u_i$$

FOR GAUSSIAN SMOOTHING $f(k) = (1 - \lambda k)$ WITH $0 < \lambda < 1/2$
THIS **IS NOT** A LOW-PASS FILTER

FOR NEW ALGORITHM $f(k) = (1 - \mu k)(1 - \lambda k)$ WITH $0 < \lambda < -\mu$
THIS **IS** A LOW-PASS FILTER

NEW SMOOTHING ALGORITHM IS A LOW-PASS FILTER



A : Graph of the polynomial $f(k) = (1 - \lambda k)(1 - \mu k)$.

B : Graph of the transfer function $f(k)^N$.

$$0 < \lambda < -\mu \quad \Rightarrow \quad k_{PB} = \frac{1}{\lambda} + \frac{1}{\mu} > 0$$

FILTER DESIGN (1)

(WITH GENE GOLUB AND TONG ZHANG, STANFORD)

- WE LOOK FOR OPTIMAL POLYNOMIAL APPROXIMATION OF

$$f_{\text{LP}}(k) = \begin{cases} 1 & \text{if } 0 \leq k < k_{\text{PB}} \\ 0 & \text{if } k_{\text{PB}} \leq k < 2 \end{cases},$$

- CHANGE VARIABLES $k = 2(1 - \cos(\theta))$ AND EXPAND

$$h_{\text{LP}}(\theta) = h_0 + 2 \sum_{n=0}^{\infty} h_n \cos(n\theta) = (\theta_{\text{PB}}/\pi) + \sum_{n=0}^{\infty} (2 \sin(n \theta_{\text{PB}})/n \pi) \cos(n\theta).$$

- USE CHEBYSHEV POLYNOMIALS $\cos(n\theta) = T_n(\cos(\theta))$

$$T_n(w) = \begin{cases} 1 & n = 0 \\ w & n = 1 \\ 2wT_{n-1}(w) - T_{n-2}(w) & n > 1 \end{cases}$$

TO GET APPROXIMATION IN ORIGINAL VARIABLE

$$f_N(k) = (\theta_{\text{PB}}/\pi) T_0(1 - k/2) + \sum_{n=1}^N (2 \sin(n \theta_{\text{PB}})/n \pi) T_n(1 - k/2).$$

FILTER DESIGN (2)

- TO ATTENUATE GIBBS PHENOMENON USE WEIGHTS

$$f_N(k) = w_0 (\theta_{PB}/\pi) T_0(1 - k/2) + \sum_{n=1}^N w_n (2 \sin(n \theta_{PB})/n \pi) T_n(1 - k/2) ,$$

- RECTANGULAR WINDOW

$$w_n = 1 .$$

- HANNING WINDOW

$$w_n = 0.5 + 0.5 \cos(n * \pi / (N + 1)) .$$

- HAMMING WINDOW

$$w_n = 0.54 + 0.46 \cos(n * \pi / (N + 1)) .$$

- BLACKMAN WINDOW WINDOW

$$w_n = 0.42 + 0.5 \cos(n\pi / (N + 1)) + 0.08 \cos(2n\pi / (N + 1)) .$$

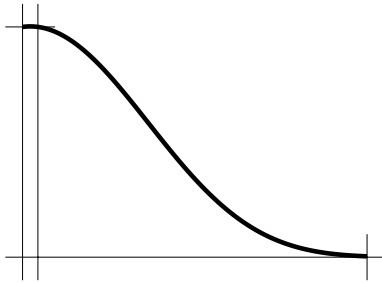
- OTHER FIR DIGITAL FILTER DESIGN TECHNIQUES:
EQUIRIPPLE FILTERS, MAXIMALLY FLAT FILTERS, ETC.

IF TOO NARROW BAND-PASS REGION

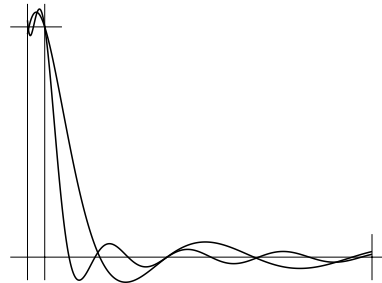
$$f_N(k) = w_0 \frac{\theta_{PB} + \sigma}{\pi} T_0(1 - k/2) + \sum_{n=1}^N w_n \frac{2 \sin(n(\theta_{PB} + \sigma))}{n \pi} T_n(1 - k/2),$$

$$\left((1 - \lambda k)(1 - \mu k) \right)^N$$

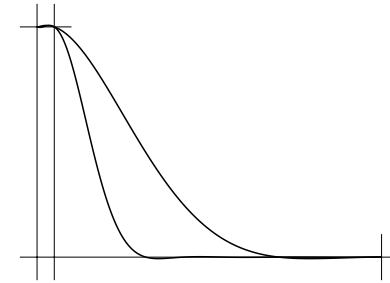
$N = 10$



RECTANGULAR
 $N = 10, 20$

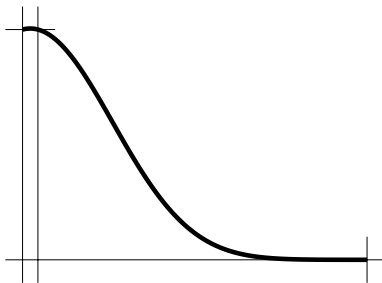


HANNING
 $N = 10, 20$

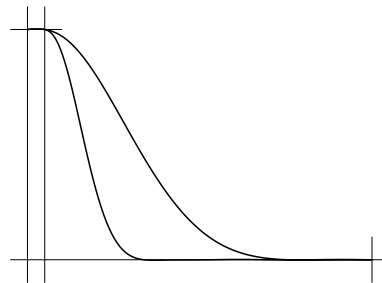


$$\left((1 - \lambda k)(1 - \mu k) \right)^N$$

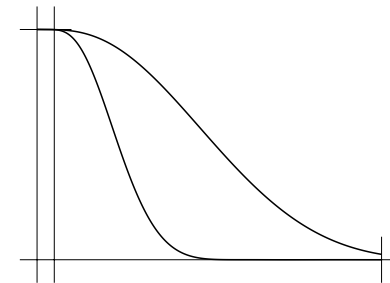
$N = 20$



HAMMING
 $N = 10, 20$



BLACKMAN
 $N = 10, 20$



PARTIAL SUMMARY

A NEW METHOD FOR SMOOTHING
PIECE-WISE LINEAR CURVES AND SURFACES

- APPLIES TO PIECE-WISE LINEAR SHAPES
OF ANY DIMENSION AND TOPOLOGY
- ITS COMPUTATIONAL COMPLEXITY IS LINEAR
BOTH IN TIME AND IN SPACE
- PRODUCES LOW-PASS FILTER EFFECT AS A FUNCTION
OF CURVATURE
- DOES NOT PRODUCE SHRINKAGE
- IT IS VERY SIMPLE TO IMPLEMENT

OVERVIEW

SURFACE SMOOTHING AS LOW-PASS FILTERING

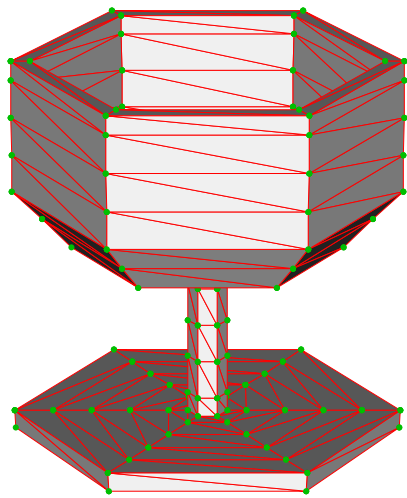
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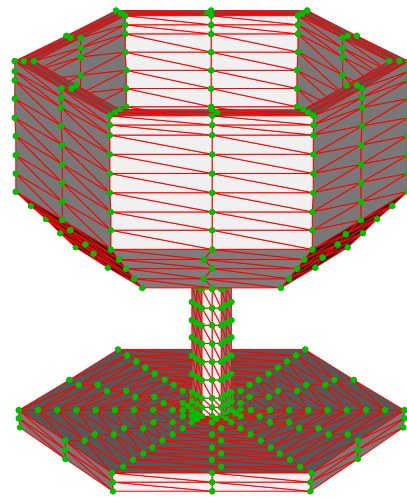
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FREE-FORM SURFACE DESIGN: SUBDIVISION + SMOOTHING (1)

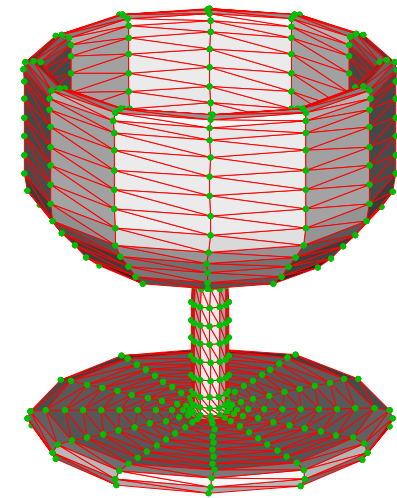
APPLY SUBDIVISION AND SMOOTHING STEPS



SKELETON (S_0)



SUBDIVIDED

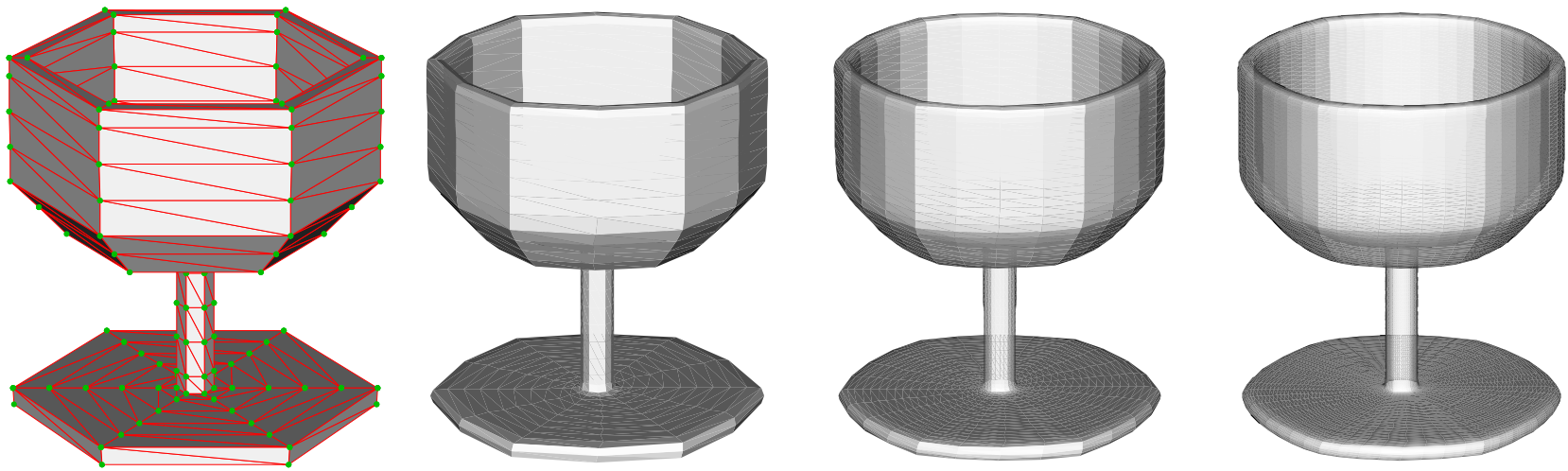


SMOOTHED (S_1)

USUALLY ONLY ONE STEP OF GAUSSIAN SMOOTHING WITH $\lambda = 0.5$

FREE-FORM SURFACE DESIGN: SUBDIVISION + SMOOTHING (2)

ONE STEP OF GAUSSIAN SMOOTHING WITH $\lambda = 0.5$



NOT ENOUGH SMOOTHING :
HEXAGONAL SYMMETRY OF SKELETON REMAINS

FREE-FORM SURFACE DESIGN: SUBDIVISION + SMOOTHING (3)

10 STEPS OF GAUSSIAN SMOOTHING WITH $\lambda = 0.5$

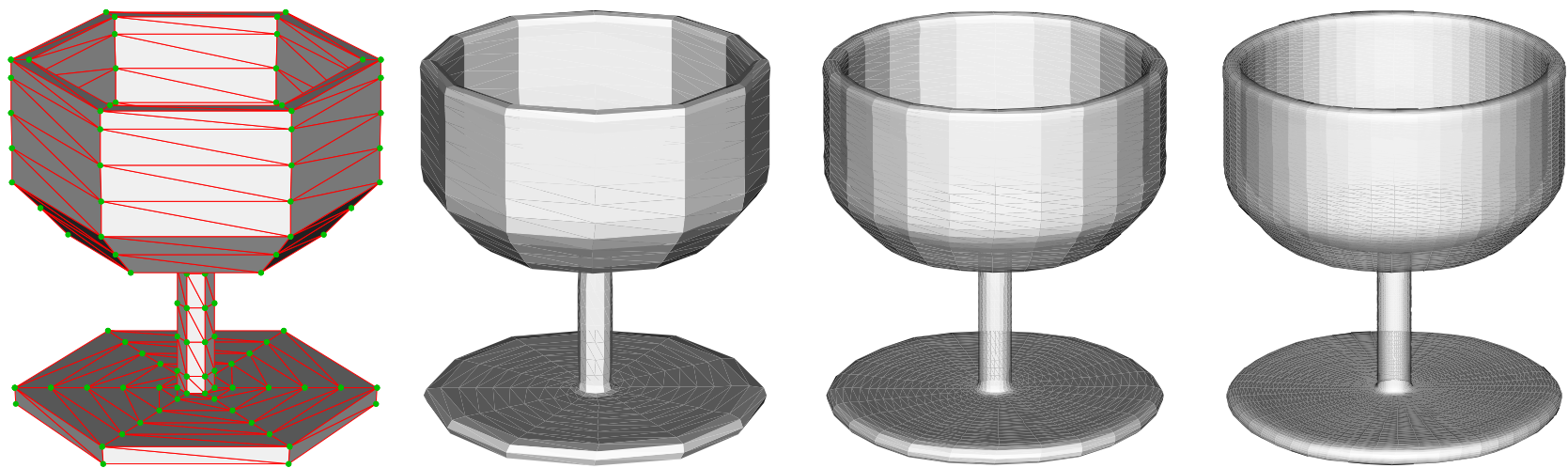


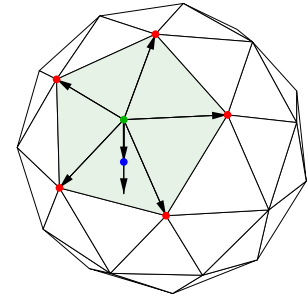
20 STEPS OF GAUSSIAN SMOOTHING WITH $\lambda = 0.5$



FREE-FORM SURFACE DESIGN: SUBDIVISION + SMOOTHING (4)

20 STEPS OF NEW ALGORITHM WITH $\lambda = 0.33$ $\mu = 0.34$





INTERPOLATORY CONSTRAINTS (1)

$x = (x_1, \dots, x_n)^t$ FUNCTION DEFINED ON VERTICES OF SURFACE

USE NON-SYMMETRIC NEIGHBORHOODS

1) TO FIX A VERTEX MAKE ITS NEIGHBORHOOD EMPTY

SMOOTHNESS IS LOST AT THE VERTEX

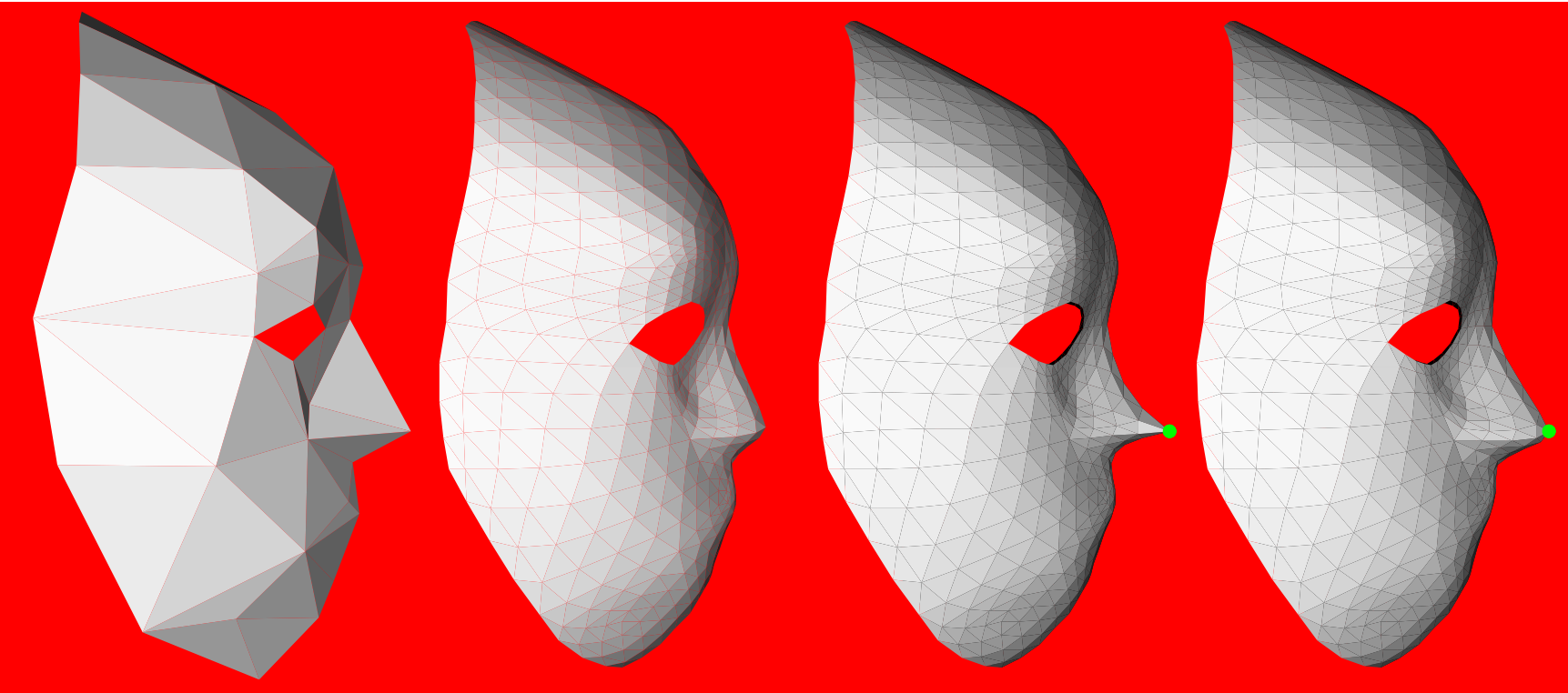
2) TO SMOOTH A SURFACE WITH BOUNDARY DO NOT MAKE
INTERNAL VERTICES NEIGHBORS OF BOUNDARY VERTICES

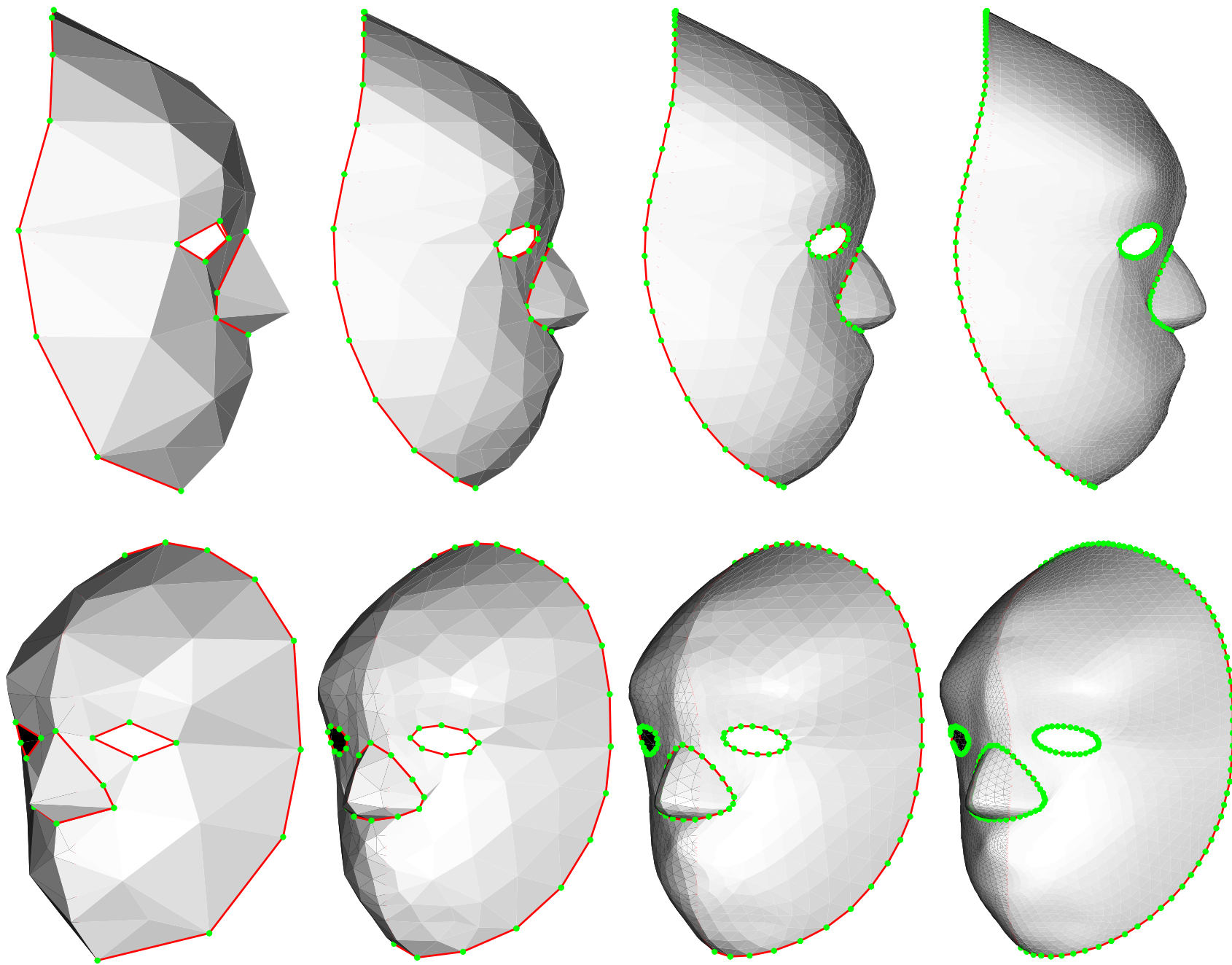
CAN BE USED TO DESIGN SURFACES WITH INTERNAL RIDGE CURVES

3) HIERARCHICAL NEIGHBORHOODS:

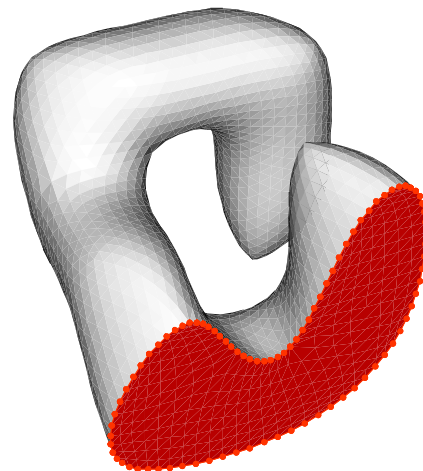
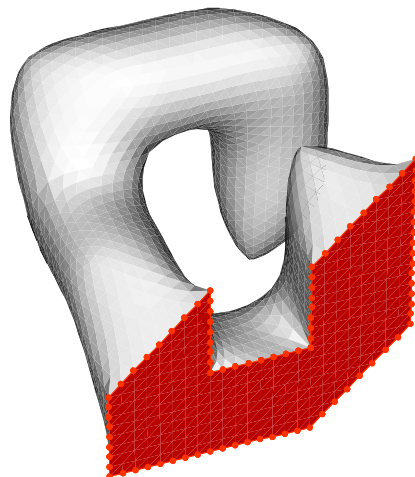
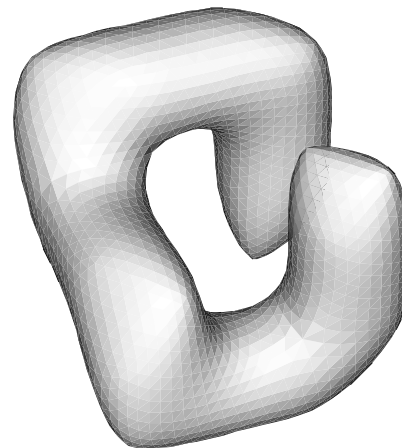
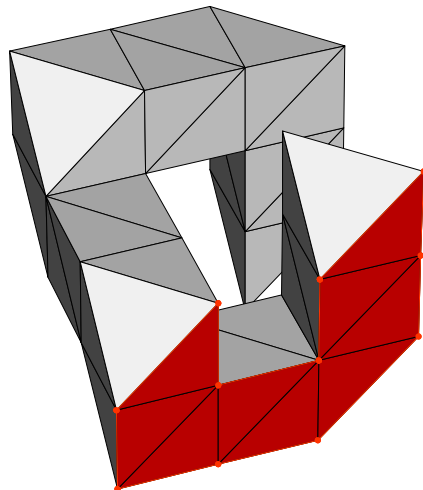
ASSIGN A NUMERIC LABEL l_i TO EACH VERTEX v_i AND
IF $l_j > l_i$ DO NOT CONSIDER v_j A NEIGHBOR OF v_i

INTERPOLATORY CONSTRAINTS (2)

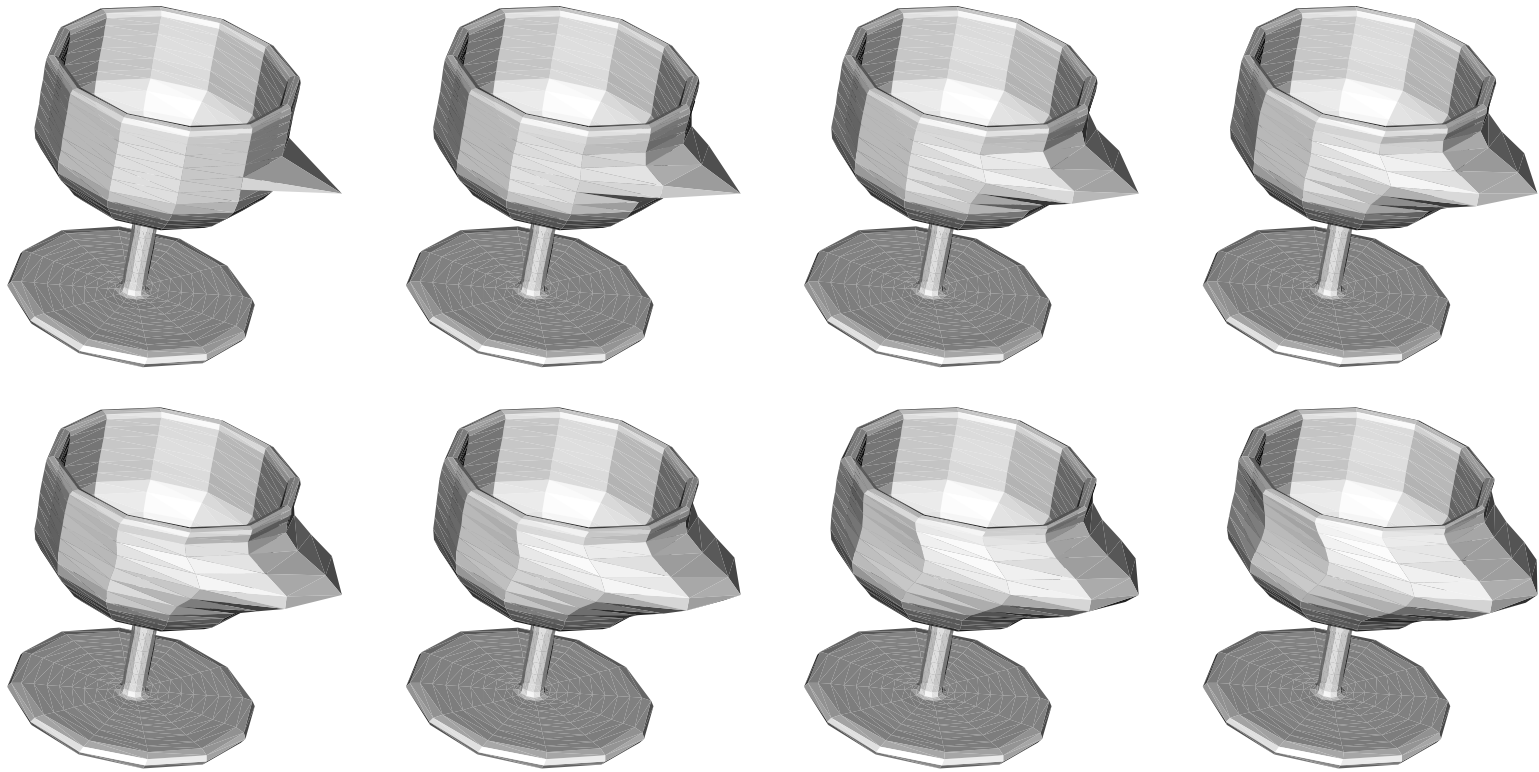




HIERARCHICAL CONSTRAINTS



SMOOTH INTERPOLATION (1)



SMOOTH INTERPOLATION (2)

ONE CONSTRAINT $(x_C^N)_1 = x_1$;

- WRITE DESIRED CONSTRAINED SMOOTH SIGNAL x_C^N AS SUM OF UNCONSTRAINED SMOOTH SIGNAL $x^N = Fx$ ($F = f(K)^N$) PLUS SMOOTH DEFORMATION d_1

$$x_C^N = x^N + (x_1 - x_1^N) d_1 .$$

DEFORMATION d_1 IS ITSELF ANOTHER DISCRETE SURFACE SIGNAL, AND THE CONSTRAINT $(x_C^N)_1 = x_1$ IS SATISFIED IF $(d_1)_1 = 1$.

- DEFORMATION d_1 IS CONSTRUCTED BY APPLYING SMOOTHING ALGORITHM TO δ_1

$$(\delta_i)_j = \begin{cases} 1 & j = i \\ 0 & j \neq i \end{cases} ,$$

AND THEN RESCALING THE RESULT TO MAKE IT SATISFY THE CONSTRAINT

$$d_1 = F_{n1} F_{11}^{-1} .$$

F_{rs} DENOTES THE SUB-MATRIX OF $F = f(K)^N$ DETERMINED BY THE FIRST r ROWS AND THE FIRST s COLUMNS.

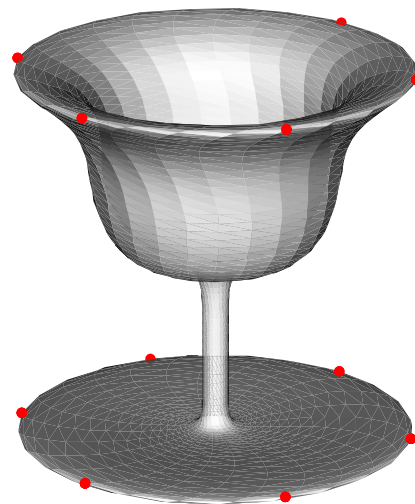
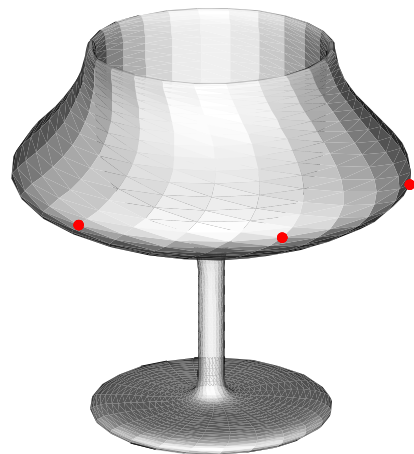
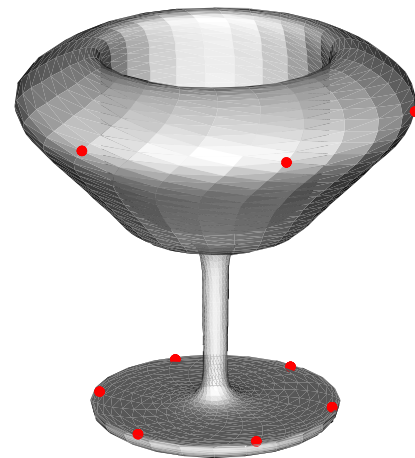
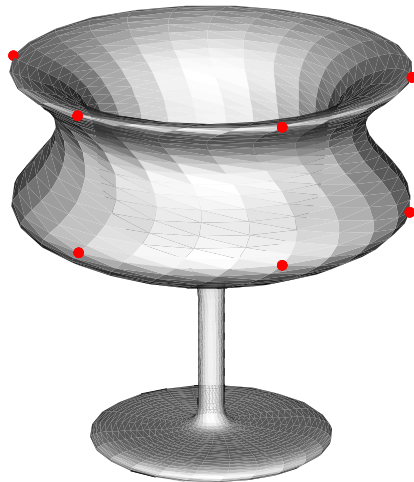
SMOOTH INTERPOLATION (2)

SEVERAL CONSTRAINTS $(x_C^N)_1 = x_1, \dots, (x_C^N)_m = x_m$

$$x_C^N = x^N + F_{nm} F_{mm}^{-1} \begin{pmatrix} x_1 - x_1^N \\ \vdots \\ x_m - x_m^N \end{pmatrix} .$$

F_{rs} DENOTES THE SUB-MATRIX OF $F = f(K)^N$ DETERMINED BY THE FIRST r ROWS AND THE FIRST s COLUMNS.

SUBDIVISION + SMOOTHING + SMOOTH INTERPOLATION



SUMMARY

A NEW METHOD FOR SMOOTHING PIECE-WISE LINEAR CURVES AND SURFACES

- APPLIES TO PIECE-WISE LINEAR SHAPES OF ANY DIMENSION AND TOPOLOGY
- ITS COMPUTATIONAL COMPLEXITY IS LINEAR BOTH IN TIME AND IN SPACE
- PRODUCES LOW-PASS FILTER EFFECT AS A FUNCTION OF CURVATURE
- DOES NOT PRODUCE SHRINKAGE
- IT IS VERY SIMPLE TO IMPLEMENT
- SIMPLE MODIFICATIONS MAKE IT SATISFY DIFFERENT TYPES OF CONSTRAINTS